R 0 4 2002  PADE The the Paperwork Reduction Act of 1995, n	box → +	Appro Patent and Traden d to respond to a collection of informat	PTO/SB/21 (08 oved for use through 10/31/2002. OMB 0651-0 nark Office: U.S. DEPARTMENT OF COMMER ion unless it displays a valid OMB control num
		Application	10/001,494
TRANSMITTAL		Filing Date	October 31, 2001
FORM	FORM		Roberto A. Capodieci
(to be used for all correspondence afte	r initial filing)	Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	12598US03
	ENCLOS	URES (check all that apply	)
Fee Transmittal Form		ent Papers pplication)	After Allowance Communication to Group
Fee Attached	Drawing(	(s)	Appeal Communication to Boar of Appeals and Interferences
Amendment / Response	Licensing	g-related Papers	Appeal Communication to Grou (Appeal Notice, Brief, Reply Brief)
After Final	Petition		Proprietary Information
Affidavits/declaration(s)		o Convert a nal Application	Status Letter
Extension of Time Request		Attorney, Revocation of Correspondence	Other Enclosure(s) (please identify below):
	Terminal	Disclaimer	Preliminary Amendment in response to Notice to File
Express Abandonment Request	Request	for Refund	Corrected Application Paper Notice to File Corrected
Information Disclosure Statement	CD, Nun	nber of CD(s)	Application Papers
Certified Copy of Priority Document(s)	Remarks		I
Response to Missing Parts/ Incomplete Application		_	
Response to Missing Parts under 37 CFR 1.52 or 1.53			
under 37 CFR 1.92 0F 1.93			
SIGNATU	RE OF APPLICA	NT, ATTORNEY, OR AGEN	NT
Firm McAndrews, Held & 500 W. Madison Street Chicago, Illnois 6060	et, 34th Floor	Joseph M. Barich, Reg. No	. 42,291
Signature AS ON	MR	irich	

CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited venvelope addressed to: Commissioner for Patents, Washing		sufficient postage as first class mail in an					
Typed or printed name							
Signature	Date						

CERTIFICATE OF IN Applicant(s): Roberto A.	Docket No. 12598US03								
Serial No. 10/001,494	Filing Date October 31, 2001	Examiner	Group Art Unit						
MAR 0 4 2002	CALLY ACTIVATED CONTIN	UOUS SLITTER APPARATU	US AND METHOD						
	e following correspondence:  ry Amendment in response to No	otice to File Corrected Applicat	tion Papers; and copy of						
	(Identify type	of correspondence)							
, i		•							
		Joseph M. J (Typed or Printed Name of Person (Signature of Person Mailin EL 9291798	Mailing Correspondence)  OVI  Green Correspondence)  335 US						
	("Express Mail" Mailing Label Number)								

Note: Each paper must have its own certificate of mailing.

Receipt \*



# PATENT Attorney Docket No 12598US03

# IN THE UNITED STATES PATENT . AND TRADEMARK OFFICE

Certificate of Mailing In the Application of: I hereby certify that this paper is being deposited with the United States Postal Roberto A. Capodieci Service as first class mail, postage Serial No. 10/001,494 prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Filed: October 31, 2001 Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231, on this date: For: Ultrasonically Activated Continuous Slitter Apparatus And Method February 22, 2002 Examiner: Not yet assigned Group Art Unit: 1761 Reg. No. 42,291 Attorney for Applicant

# REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Dear Sir:

RECEIVED

MAR 1 9 2002

TC 1700

Applicants respectfully request that the filing receipt for the above-identified case be corrected to reflect the following:

In the section entitled "Domestic Priority data as claimed by applicant" please delete "PCT/US98/127740" and insert --PCT/US98/27740--.

A copy of the Official Filing Receipt reflecting all changes in red is attached hereto as Exhibit A.

The Commissioner is hereby authorized to charge any fee which may be required for this request to the Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd., or to refund any overpayment thereto.

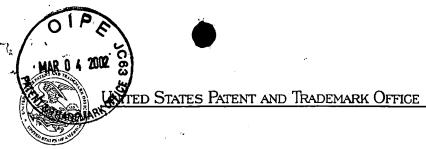
Respectfully submitted,

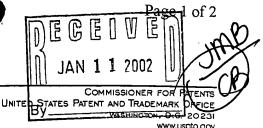
Dated: February 22, 2002

Joseph M. Barich Reg. No. 42,291

Attorney for applicants

McAndrews, Held & Malloy, Ltd. 34th Floor 500 West Madison Street Chicago, IL 60661 (312) 775-8000





	<u> </u>							
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	١
10/001,494	10/31/2001	1761	740	12598US03	5	20	2	•

**CONFIRMATION NO. 3561** 

**FILING RECEIPT** 

OC000000007264128\*

McAndrews, Held & Malloy, Ltd. 500 W. Madison Street, 34th Floor Chicago, IL 60661

Date Mailed: 01/04/2002

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Roberto A. Capodieci, Glen Ellyn, IL;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/581,982 08/24/2000 WHICH IS A CON OF PCT/US98/127740 12/29/1998

Foreign Applications

If Required, Foreign Filing License Granted 01/03/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

**Early Publication Request: No** 

Title

Ultrasonically activated continuous slitter apparatus and method

**Preliminary Class** 

426

Exhibit A

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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SERIAL NUMBER 10/001,494	FILING DATE 10/31/2001 RULE		L <b>ASS</b> 426	GROUP ART UNIT 1761		ATTORNEY DOCKET NO. 12598US03		
APPLICANTS Roberto A. Capodieci, Glen Ellyn, IL;  *** CONTINUING DATA ************************  THIS APPLICATION IS A CON OF 09/581,982 08/24/2000 WHICH IS A CON OF PCT/US98/27740 12/29/1998  *** FOREIGN APPLICATIONS ************************************								
Foreign Priority claimed  35 USC 119 (a-d) conditions					WING	TOTAL CLAIMS 20		INDEPENDENT CLAIMS 2
ADDRESS McAndrews, Held & Malloy, Ltd. 500 W. Madison Street, 34th Floor Chicago ,IL 60661								
TITLE  Ultrasonically activated continuous slitter apparatus and method								
FILING FEE FEES: Authority has been given in Paper RECEIVED No to charge/credit DEPOSIT ACCOUNT No for following:				☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit				



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Page 1

APPLICATION NUMBER

McAndrews, Held & Malloy, Ltd.

Chicago, IL 60661

500 W. Madison Street, 34th Floor

NG/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/001,494 MAR 0 4 2002

10/31/2001

Roberto A. Capodieci

12598US03

CONFIRMATION NO. 3561

FORMALITIES LETTER

\*OC000000007264129\*

\*OC000000

Date Mailed: 01/04/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

# Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

• An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE